

REGULATIONS ON SCIENTIFIC RESEARCH OF THE UNIVERSITY OF LIMA

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Title I. Background Information

Chapter I. Research

Article 1: Scientific, humanistic and/or technological research means the methodical exercise, previously regulated and included in one or more disciplines, of a sequence of specific intellectual tasks intended to generate new knowledge or to creatively use the existing knowledge, whether theoretical or practical.

Article 2: Any research must be organized through a project, understood as the plan for the implementation of academic activities focused, in a theoretical and methodological manner, on the search for answers or solutions to a major problem.

Chapter II. Purpose of a Research

Article 3: Research developed by researchers in accordance with the procedures established herein is an essential activity of the University.

Article 4: The research activities of the University of Lima have the following purposes: a) To produce high-level knowledge in the different scientific, humanistic and technological disciplines. b) To contribute to the development of the country through research works within the areas of the University.

Article 5: Research activities of the University of Lima are subject to a general and systematic policy which is part of the Operational and Development Plan, and the Strategic Plan.

Chapter III. Policies and Lines of Research

Article 6: The Scientific Research Institute formulates general policies that provide guidance on the research conducted in the University of Lima, by bringing together different initiatives and proposals of the University's faculties and Graduate School. Moreover, it promotes collaborative and interdisciplinary work.

Article 7: The Scientific Research Institute promotes the institutional link of the University of Lima with the national and international research networks.

Article 8: The Scientific Research Institute develops and establishes, in coordination with the University's faculties and Graduate School, the lines of research, giving priority to those projects that contribute to the production of knowledge in their pertinent areas.

Title II. Scientific Research Institute

Chapter I. Nature and Functions

Article 9: The Scientific Research Institute is the academic-administrative unit responsible for coordinating research activities conducted at the University of Lima.

Article 10: The functions of the Scientific Research Institute are as follows: a) To support and manage research projects approved by the Research Committee and that have been incorporated into the Annual Research Plan. b) To coordinate with the Publishing Fund the publication and dissemination of the pertinent research. c) To coordinate the participation of students of the faculties in the research under the pre-professional internship modality. d) To propose academic events, such as lectures, congresses, seminars or others. e) To coordinate the participation of researchers in national and international conferences and congresses in order to present research results.

Chapter II. Research Committee

Article 11: The Research Committee of the University of Lima is chaired by the President and composed of the Vice-President, the Director of the Scientific Research Institute, the Director of the Publishing Fund, representatives of the university schools and other authorities appointed by the Chairman.

Article 12: The duties of the Research Committee are to approve the Annual Research Plan, as well as the policies and lines of research.

Title III. Research

Chapter I. Projects

Article 13: Research projects are included in the Annual Research Plan.

Article 14: Research projects must be submitted in accordance with the rules established by the Scientific Research Institute.

Article 15: Research projects may be carried out individually or in groups. In this latter case, research teams composed of more than one professor from the different faculties or programs of the University of Lima may be built. Scholars of entities outside the University of Lima may participate in the academic research projects. The modality of participation shall be evaluated in each case.

Chapter II. Researchers

Article 16: Professors at the University of Lima may solely carry out research activities. The exceptional recruitment of external researchers not holding an academic position shall be approved by the Board of Trustees, upon consultation with the Scientific Research Institute.

Article 17: The researcher is responsible for conducting a project always has the status of professor and is appointed as investigator-in-charge, regardless of his/her status of professor. He/she may have one or more associate researchers who must have at least the status of assistant professors.

Article 18: No professor may participate simultaneously in more than one research project financed with the University of Lima's funds.

Article 19: The University of Lima gives priority to research projects conducted by full-time professors without prejudice to those proposed by part-time professors. Full-time professors may spend up to half of their working hours on the research assigned to them, if applicable, and their teaching load (credits per academic year) may be further reduced depending on the duration of the project.

Article 20: Research projects may have research assistants who must necessarily be professors. They must have the status of internship coordinator and be treated as such. They shall be proposed by the investigator-in-charge and appointed by the Scientific Research Institute based on their professional background on the matter. Under no circumstances may the responsible researcher delegate his/her functions.

Article 21: Upon request of the researchers, research projects may have professional technical support. Said participation is governed pursuant to the regulations in force of the Scientific Research Institute.

Chapter III. Call for Projects and Project Submission

Article 22: The Scientific Research Institute calls for research projects by professors on an annual basis. The Scientific Research Institute may perform extraordinary calls if so approved by the Research Committee.

Article 23: The procedure to select projects is as follows: a) Research projects are subject to a prior selection in which, at first, the Scientific Research Institute determines the relevance of the projects selected from the series of research projects proposed in the University based on priority, coherence, cost and opportunity. The Institute may request reports from third parties if necessary. b) Upon completion of said procedure, the Scientific Research Institute forwards the projects that comply with the established requirements to the respective faculties or Graduate School for their opinion. c) Once the faculties or Graduate School's opinions are received, the Research Committee ultimately approves or disapproves research projects. d) Finally, the Scientific Research Institute informs the authorities of the academic units on the approved projects.

Article 24: With regard to the duration of projects, the following must be noted: a) Approved research projects are started in the first academic term and end twelve (12) months later. b) The term of a project may be renewed according to its nature, necessities and lines of research in force. This must be justified at the time of filing the extension request in the annual call.

Chapter IV. Project Approval

Article 25: The professor in charge of the research, together with the research team, undertakes obligations arising from the project approval. For such purpose, research professors sign a Statement of Commitment, pursuant to the rules of the Scientific Research Institute of the University of Lima.

Chapter V. Project Management

Article 26: Each research project has a budget forwarded by the Scientific Research Institute to the Commission of Activities for approval. The amendments to the budget are requested by the Scientific Research Institute which assesses their relevance and sends them to the Commission of Activities for approval.

Article 27: Researchers submit documented proof of their research to the Student Finance and Administration Bureau.

Article 28: The University of Lima owns the research conducted in said institution.

Article 29: Copyright of the work published or disseminated under any form or support as a result of a research is governed by the respective laws and contracts. Researchers require prior approval in writing of the Scientific Research Institute to disseminate or publish, in whole or in part, the research under their responsibility.

Article 30: The Scientific Research Institute coordinates the promotion and dissemination of activities during the development of a research project. Promotion activities are those conducted as part of the research process and addressed to the university community, under several forms, such as forums for researchers per area, talks, round tables or interviews with specialists, among others. The dissemination activities are those concerning the dissemination (internal and external) of partial or final products of research. For example: participation in national or international academic seminars or events, interviews or reviews in mass media or specialized publications, among others.

Article 31: The Scientific Research Institute submits those researches which publication it recommends to the Executive Board of the Publishing Fund for approval.

Chapter VI. Project Evaluation

Article 32: Research is subject to a quarterly reporting system and an evaluation of its results. Research successfully conducted is a prerequisite for approval of new projects and is a source of information for ratification processes and professor promotion.

Article 33: The Scientific Research Institute evaluates, on an annual basis, completed projects for which it may have recourse to experts.

Article 34: The following shall be evaluated in each project: a) The scientific or humanistic value of the result. b) Its methodological rigor. c) The intellectual merit of the work conducted by the researcher during his/her career. d) Its usefulness and applicability.

Article 35: The final report must be accompanied by: a) A text for a book or journal of the University of Lima, submitted in accordance with the guidelines of the Publishing Fund of the University of Lima. b) An article for a specialized or indexed journal. c) An audiovisual product.

Article 36: Unjustified failure to meet the deadlines outlined in the project (submission of reports, results or work) will result in the following actions by the Scientific Research Institute: a) suspension of the assigned research hours, pending the commitment to complete the research in an additional term, or b) removal of the research hours and cancellation of the project.

Article 37: The Scientific Research Institute informs the Research Committee on the application of the sanctions set forth in Article 36 hereof.

Title IV. Students

Chapter I. Pre-professional Internship

Article 38: Pre-professional internship is a space for students to learn and be trained in research, as well as to support research projects.

Article 39: To become a research intern, students must comply with the general requirements for pre-professional internship established by the Welfare Bureau, rank top 33%, and not take courses for the second or third time.

Article 40: The duties of the selected students are to collect, organize and systematize data and sources of information; assist in the design and implementation of research tools, as well as in the field or laboratory work; prepare reports, bibliographic summaries, statistics and reports as part of the research under the guidance and supervision of the investigator-in-charge. Moreover, they must participate in the different meetings called by the Scientific Research Institute and assist the researcher in the tasks of project dissemination and extension.

Title V. Research Resources

Chapter I. Remuneration of Researchers

Article 41: Part-time professors are assigned hours of research outside their working hours, and are remunerated on a quarterly basis after the presentation and approval of the respective partial reports. Full-time professors are assigned weekly hours of research as part of their working hours.

Article 42: In the event that the professor fails to submit the quarterly report which contains the progress of the project, or the report is not approved by the Scientific Research Institute up to on two consecutive occasions, said professor shall be withdrawn from the research.

FINAL PROVISIONS

ONE: These Regulations shall enter into force as from December 1, 2011.

TWO: All internal provisions and rules that contravene these Regulations are hereby repealed.